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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/815,305	03/23/2001	Toshiaki Hongo	P 0279274 EL01001CDC	4649

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EXAMINER

ALEJANDRO MULERO, LUZ L

ART UNIT	PAPER NUMBER
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1763

DATE MAILED: 09/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/815,305

Applicant(s)

HONGO ET AL.

Examiner

Luz L. Alejandro

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 26-29, 31-40 and 42-45 is/are pending in the application.
- 4a) Of the above claim(s) 33-36 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 26-29, 31-32, 37-40, and 42-45 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 26, 28-29, 37, 39-40, and 44-45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Li et al., U.S. Patent 5,772,771.

Li et al. shows the invention as claimed including a plasma processing apparatus for applying a plasma process to an object 20 to be processed, the plasma processing apparatus comprising: a process chamber 2 in which the object to be processed is subjected to the plasma process; a plasma source 26 that generates plasma; a gas introducing portion configured to introduce a gas into the process chamber; a gas supply line (see leftmost reference number 80 in fig. 5) connected to the gas introducing

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portion so as to supply the gas to said gas introducing portion; a first vacuum device connected to the process chamber so as to evacuate gas from the process chamber; a second vacuum pump 84 connected to the outlet port, wherein the gas introducing portion comprises: a gas passage (see rightmost reference number 80 in fig. 5); a plurality of gas nozzles 34 connected to the gas passage and facing inside said process chamber; an inlet port 80 provided to said gas passage and connected to said gas supply line; and an outlet port 82 provided to said gas passage that connects to said gas exhaust line (see figs. 1, 4-5 and col. 3-line 20 to col. 5-line 37).

Li et al. fails to expressly disclose the outlet port through which the gas is evacuated through the nozzles having a diameter larger than a diameter of the gas nozzles and a gas-evacuating arrangement comprises a bypass passage which connects said outlet port to the first vacuum pump by bypassing said process chamber. Regarding the relative diameter of the gas nozzles and outlet port, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have the outlet port at least the same size as the inlet port because in such a way the exhaustion of the gas can be efficiently optimized. Furthermore, it also would have been obvious to one of ordinary skill in the art at the time the invention was made to have the inlet/outlet port having a bigger diameter than the gas nozzles because this will allow for the gas to be efficiently distributed through the gas nozzles and into the chamber and it would generate a uniform gas pressure distribution through the nozzles-type gas supply system. Moreover, where the only difference between the prior art and the claims was a recitation of relative dimensions of the claimed device and a device having the

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claimed relative dimensions would not perform differently than the prior art device, the claimed device was not patentably distinct from the prior art device.

Furthermore, with respect to the bypass passage which connects said outlet port to the first vacuum pump by bypassing said process chamber, it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the second vacuum pump of the apparatus of Li et al., with a bypass that connects to the first vacuum pump so as to reduce the overall size and complexity of the apparatus.

Concerning claims 28-29 and 39-40, note that in Li et al. the gas introducing portion includes an annular gas passage 36 through which the gas flows that is connected to the inlet port, the outlet port, and the gas nozzles, the gas nozzles located along the annular passage at substantially equal intervals (see fig. 4).

Claims 27 and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Li et al., U.S. Patent 5,772,771 as applied to claims 26, 28-29, 37, 39-40, and 44-45, and further in view of Tomoyasu et al., U.S. Patent 5,900,103.

Li et al. is applied as above but does not expressly disclose the claimed showerhead type structure. Tomoyasu et al. discloses an apparatus comprising a gas introducing part comprising a showerhead type structure 780a/780b/780c provided on a top of said process chamber so as to introduce the reactant gas from the top of said process chamber, a gas passage 770 being formed between said dielectric plate and said shower plate so that the reactant gas flows through the gas passage and is introduced into said process chamber through said shower plate. Additionally,

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Tomoyasu et al. also shows wherein the dielectric plate has an inlet port connected to said gas passage so as to supply the reactant gas to said gas passage, and said gas passage has an outlet port to which a gas-evacuating arrangement is connected (see, for example, fig. 37 and its description). Therefore, in view of this disclosure, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the apparatus of Li et al. as to further comprise the claimed showerhead type structure because in such a way the process gases can be uniformly introduced into the processing region to the substrate.

Claims 26-29, 31-32, 37-40, and 42-45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tei et al., 2002/0011215 A1 in view of Tomoyasu et al., U.S. Patent 5,900,103 and Li et al., U.S. Patent 5,772,771.

Tei et al. shows the invention substantially as claimed including a plasma processing apparatus for applying a plasma process to an object to be processed, the plasma processing apparatus comprising: a process chamber 101 in which the object to be processed is subjected to the plasma process; a gas introducing portion configured to introduce a reactant gas into said process chamber and including a shower plate 106 provided on a top portion of said process chamber so as to introduce the reactant gas from the top of the process chamber through a plurality of apertures facing the object to be processed, and a first vacuum device connected to said process chamber through an exhaust port 102 so as to evacuate a volume inside the process chamber (see fig. 1 and paragraphs 0061-0077). Furthermore, Tei et al. discloses

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additional embodiments with a slot antenna having a plurality of slits so as to guide a microwave having a predetermined frequency and where the density of slits is substantially uniform in a radial direction of said slot antenna (see paragraphs 103-108).

Tei et al. fails to expressly disclose a gas supply line and gas introducing portion as claimed, and the outlet port having a diameter larger than a diameter of the gas nozzles, and a second vacuum device connected to the outlet port. Tomoyasu et al. discloses a gas outlet port 750A (see Fig. 37) connected to a gas-introducing part so as to allow the gas to be exhausted from the gas passage. Furthermore, Li et al. discloses a gas supply line (see leftmost reference number 80 in fig. 5) connected to the gas introducing portion so as to supply the gas to said gas introducing portion; and wherein the gas introducing portion comprises: a gas passage (see rightmost reference number 80 in fig. 5); a plurality of gas nozzles 34 connected to the gas passage and facing inside said process chamber; an inlet port 80 provided to said gas passage and connected to said gas supply line; and an outlet port 82 provided to said gas passage that connects to said gas exhaust line (see figs. 1, 4-5 and col. 3-line 20 to col. 5-line 37). Therefore, in view of this disclosure, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Tei et al. so as to include the gas supply line and gas introducing structure of Tomoyasu et al. and Li et al. because this will allow for efficient gas distribution into the processing chamber and also enhanced cleaning of the nozzles. Additionally, the relative diameter of the gas nozzles and outlet port, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have the outlet port of the apparatus of Tei et al.

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modified by Tomoyasu et al. and Li et al. to be at least the same size as the inlet port because in such a way the exhaustion of the gas can be efficiently optimized.

Furthermore, it also would have been obvious to one of ordinary skill in the art at the time the invention was made to have the inlet/outlet port having a bigger diameter than the gas nozzles in the gas introducing structure because this will allow for the gas to be efficiently distributed through the gas nozzles and into the chamber and it would generate a uniform gas pressure distribution through the nozzles-type gas supply system. Moreover, where the only difference between the prior art and the claims was a recitation of relative dimensions of the claimed device and a device having the claimed relative dimensions would not perform differently than the prior art device, the claimed device was not patentably distinct from the prior art device.

Tei et al., Tomoyasu et al. and Li et al., fail to show wherein said gas-evacuating arrangement comprises a bypass passage which connects said outlet port to the first vacuum pump by bypassing said process chamber. However, it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the second vacuum pump of the apparatus of Tei et al. modified by Tomoyasu et al. and Li et al., with a bypass that connects to the first vacuum pump so as to reduce the overall size and complexity of the apparatus.

Response to Arguments

Applicant's arguments filed 06/23/05 have been fully considered but they are not persuasive. Concerning the fact that Li '771 and the other references applied do not

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disclose where the gas introducing portion includes a gas inlet with an inlet portion that connects to a gas supply line and an outlet port that connects to a gas exhaust line, note that as broadly interpreted, the Li et al. reference teaches such a feature as disclosed in the above rejections. Regarding the fact that there are no other lines connected between the gas introducing portion and the second vacuum device, it is noted that the features upon which applicant relies are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Luz L. Alejandro whose telephone number is 571-272-1430. The examiner can normally be reached on Monday to Thursday from 7:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Parviz Hassanzadeh can be reached on 571-272-1435. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Luz L. Alejandro
Primary Examiner
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September 12, 2005